Wave Sensing Radar and Wave Reconstruction

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and Ben Connell (APS)
Simple relations from linear wave theory:

**Dispersion relation**

\[ gk = \omega^2 \]

**Wavelength in meters**

\[ \lambda \approx 1.56T^2 \]

**Phase speed in m/s**

\[ c_p \approx 1.56T \]

**Group speed in m/s**

\[ c_g \approx 0.8T \]

<table>
<thead>
<tr>
<th>Period T (s)</th>
<th>Frequency (Hz)</th>
<th>Wavelength (m)</th>
<th>Group Speed (m/s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>0.250</td>
<td>25</td>
<td>3.2</td>
</tr>
<tr>
<td>6</td>
<td>0.170</td>
<td>56</td>
<td>4.8</td>
</tr>
<tr>
<td>8</td>
<td>0.125</td>
<td>100</td>
<td>6.4</td>
</tr>
<tr>
<td>10</td>
<td>0.100</td>
<td>156</td>
<td>8.0</td>
</tr>
<tr>
<td>12</td>
<td>0.083</td>
<td>225</td>
<td>9.6</td>
</tr>
<tr>
<td>14</td>
<td>0.071</td>
<td>305</td>
<td>11.2</td>
</tr>
<tr>
<td>16</td>
<td>0.063</td>
<td>399</td>
<td>12.8</td>
</tr>
</tbody>
</table>

Group speed determines sensing range for forecasting

- Example: \( T_f = 300 \) s, \( C_g = 12.8 \) m/s: \( R_{max} \approx 3840 \) m
Resolution Requirements

For 5 s waves...
- $\lambda = 40$ m
- Range resolution $< 20$ m
- Azimuthal sweep interval $< 2.5$ s

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• $v_d = v_{orb} + n$

• How much error in the observation can be tolerated for accurate wave retrieval?

• Modeling and simulation of the APS wave retrieval process suggests that 0 dB “Doppler noise” can be tolerated:

$$\frac{\text{std}(v_{orb})}{\text{std}(n)} = 1$$

• As peak wave period increases, and significant wave height decreases – mean orbital velocity decreases

• Lowest expected rms orbital velocity is ~8 cm/s

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RMS Orbital Velocity

$$SWH = 4\eta_{RMS}$$

$$V_{orb,RMS} \approx \omega_p \eta_{RMS}$$

<table>
<thead>
<tr>
<th>Peak Period</th>
<th>SWH (m)</th>
<th>$\eta_{RMS}$ (cm)</th>
<th>$V_{orb,RMS}$ (cm/s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
<td>0.5 (SS2)</td>
<td>12.5</td>
<td>13</td>
</tr>
<tr>
<td>6</td>
<td>1.0 (SS3)</td>
<td>25</td>
<td>26</td>
</tr>
<tr>
<td>6</td>
<td>2.0 (SS4)</td>
<td>50</td>
<td>50</td>
</tr>
<tr>
<td>8</td>
<td>0.5 (SS2)</td>
<td>12.5</td>
<td>10</td>
</tr>
<tr>
<td>8</td>
<td>1.0 (SS3)</td>
<td>25</td>
<td>20</td>
</tr>
<tr>
<td>8</td>
<td>2.0 (SS4)</td>
<td>50</td>
<td>40</td>
</tr>
<tr>
<td>10</td>
<td>0.5 (SS2)</td>
<td>12.5</td>
<td>8</td>
</tr>
<tr>
<td>20</td>
<td>1.0 (SS3)</td>
<td>12.5</td>
<td>8</td>
</tr>
<tr>
<td>20</td>
<td>2.0 (SS4)</td>
<td>25</td>
<td>16</td>
</tr>
</tbody>
</table>
• Scanning the antenna slower (increasing dwell) reduces the Doppler variance in the measurement

• Yet to determine the impact of less measurements per second on wave retrieval accuracy
The Advanced Wave Sensing Radar (AWSR)

- Based on CORAR that was built by Bill Plant at APL-UW
- Solid state X-band transmitter
- Vertical polarization
- Fully-coherent radar
- Configurable center frequency
- Configurable pulse repetition frequency
- Pulse compression
- Variable rotation rate pedestal
- Four antennas to meet wave sampling requirements while scanning slowly
- Arbitrary directional blanking
- Measurement out to 5 km
- GPS time-stamped data
- Open data format

<table>
<thead>
<tr>
<th>AWSR Specifications</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequency</td>
<td>9.2 – 9.4 GHz</td>
</tr>
<tr>
<td>Bandwidth</td>
<td>10 – 40 MHz</td>
</tr>
<tr>
<td>Tx Power</td>
<td>2 kW</td>
</tr>
<tr>
<td>Transmitter</td>
<td>SSPA</td>
</tr>
<tr>
<td>Antennas</td>
<td>4 – Vertical Pol.</td>
</tr>
<tr>
<td>Horizontal Beamwidth</td>
<td>2.5 deg.</td>
</tr>
<tr>
<td>Vertical Beamwidth</td>
<td>10 deg.</td>
</tr>
<tr>
<td>Switching Pattern</td>
<td>Variable</td>
</tr>
<tr>
<td>PRF</td>
<td>3.125 – 25 kHz</td>
</tr>
<tr>
<td>Rotation Rate</td>
<td>0 – 96 deg/s</td>
</tr>
</tbody>
</table>
Example Radar Data

File: archive\_20141001023615  N1: 16  N2: 64  Rot. Rate: 30.0 deg/s

Backscattered Power  Doppler Velocity
Radar (nominally) observes the radial component of orbital velocity

\[
f_{D,n} = \text{Re} \left( \sum_{m=1}^{M} D_{n,m} A_m \exp \left( i (x_n \cdot k_m - \omega_m t_n) \right) \right) + (u_c - v_{s,n}) \cdot e_{look,n} + \text{noise}
\]

Fluctuates in range, \( A_m \) is the modal amplitude

DC in range, slowly varying in azimuth

\( D_{n,m} \) ("D Function") accounts for factors such as measurement angle with respect to the waves

Use a least-squares approach to solving for the complex modal coefficients (\( A_m \))

Reconstruct wave height at specified \( x, y, t \)

\[
\eta(x, y, t) = \text{Re} \left( \sum_{m=1}^{M} A_m \exp \left( i (k_{x,m}x + k_{y,m}y - \omega_m t) \right) \right)
\]

Specified set of \( \omega-\beta \)

Least squares solution

Synthesized wavefield
To reconstruct waves at the buoy, we have to go back into the radar data records a minimum of $T_S$ seconds.

- The oldest measurement we need to consider is $T_E$ seconds in the past.

- $R_{\text{max}}$ must be sufficiently large to ensure that enough modal coefficients are represented in $\omega-k$ space.

- If $R_{\text{max}}$ is too large, low SNR data will be used in the reconstruction.
Buoy measurement reconstruction allows us to debug the wave retrieval algorithm.

The following conditions must be imposed when doing buoy measurement reconstruction:

- Waves propagating towards the buoy must be visible from the point of view of the radar – this can make buoy measurement reconstruction in bimodal seas difficult.
- September 2013
- Wave buoys deployed to measure waves
  - AWSR #1
  - AWSR #2
- Port-side radar blanked towards starboard side of ship
- Starboard-side radar blanked towards port side of ship
• In all cases, waves are from the north east, and the buoy was drifting south.

• Record length shown is 120 s.

• Buoy within, but on the edge of the extraction region until around half way through the record.

• Ship comes within 40 m of the buoy.
• Buoy well located for wave retrieval.

• In this case, buoy measurement reconstruction is very much like reconstruction of waves at the ship.

• Why aren’t we getting better correlations?
• Outbound waves case – haven’t studied how to set up wave retrieval for this case.

• Could set up extraction region on opposite side of track, but distance to buoy is large, so $T_E$ would be large.

• Radar partially blanked in extraction region.
- Radar blanked in extraction region
- Extraction region not optimal for first half of record.
- Buoy within extraction region for latter half of record.
• Wave reconstruction at buoy for September 11, 2013

• Investigating the causes for why we are not reconstructing the wave perfectly all of the time
Comparison with Airborne Lidar

- Can reconstruct wave field for \((x, y, t)\), which provides us with a natural way to compare with lidar point cloud data.

Lidar data provided by Scripps Institute of Oceanography.
Conclusions

- Introduced a radar designed for wave measurements
- New approach to wave reconstruction from radar data
- Wave retrievals compared with buoy data

- Topics not covered in this presentation
  - This algorithm runs in real time, and has been used for wave forecasting
  - Wave reconstruction technique naturally handles multi-modal seas (multiple tiled extraction regions)
  - Wave reconstruction technique naturally handles reconstruction using multiple radars (possibly on different ships)
  - Successfully applied wave retrieval algorithm to data collected by the coherent on receive radar built by University of Michigan / Ohio State University